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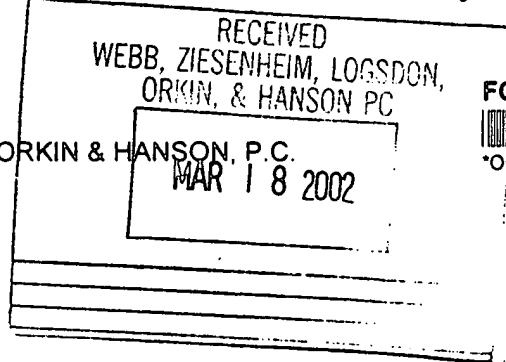
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT  | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|------------------------|------------------------|
| 09/837,537         | 04/18/2001          | Simon Lodewijk Scharpe | 702-010673             |

Barbara E. Johnson  
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CONFIRMATION NO. 7589

FORMALITIES LETTER



Date Mailed: 03/12/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

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CP 1646  
B.x Seq  
Attorney's Docket No. 702-010673

AMENDMENT TRANSMITTAL LETTER

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MAY 24 2002

Serial No.: 09/837,537 Filing Date: April 18, 2001 TECH CENTER 1600/2900  
Examiner: Not Yet Assigned Group Art Unit: 1646  
Invention: "Serine Peptidase Modulators"

Transmitted herewith is a Sequence Amendment in the above-identified application.

- ☐ Small entity status of this application under 37 CFR 1.27 has been established by a verified statement previously submitted.  
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.  
☒ No additional fee is required.  
☐ The fee has been calculated as shown below:

| No. of Claims<br>After<br>Amendment       | Highest No.<br>Previously<br>Paid For | Present<br>Extra | Small<br>Entity<br>Rate | Non-Small<br>Entity<br>Rate | Charge |
|---|---------------------------------------|------------------|-------------------------|-----------------------------|--------|
| Total 26                                  | 26                                    | -0- X            | \$ 9.00                 | X \$ 18.00                  | \$ -0- |
| Indep. 5                                  | 5                                     | -0- X            | \$ 42.00                | X \$ 84.00                  | \$ -0- |
| First Presentation of Multiple Dep. Claim |                                       |                  | + \$140.00              | + \$280.00                  | \$ -0- |
| Total Additional Fee                      |                                       |                  |                         |                             | \$ -0- |

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed to cover the filing fee.  
☐ A check in the amount of \$\_\_\_\_\_ is enclosed for a \_\_\_ month Petition for Extension of Time.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650. An original and two copies of this sheet are enclosed.  
☒ Any additional filing fees required under 37 CFR 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.

May 9, 2002

Date

By

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on May 9, 2002.

Ellen Harteau

Signature

*Ellen Harteau*

5/9/2002  
Date